DISCONTINUOUS BIFURCATION ANALYSIS IN MICROPLANES THEORY

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Abstract. Conditions for discontinuous bifurcation in limit states of thermodynamically consistent microplane theory for cohesive-frictional materials like concrete are evaluated by means of analytical methods. Explicit solutions for brittle failure conditions in the form of discontinuous bifurcation are proposed. Numerical analysis are comparatively assessed for different limit stress states regarding both microplane and macroscopic response. Macroscopic critical values are computed by analytical and geometrical methods. The results in this work illustrate the capabilities of the thermodynamically consistent microplane theory to reproduce localized failure modes in uniaxial tension, uniaxial compression and simple shear regimes of cohesive-frictional materials like concrete.
1 INTRODUCTION

The term localization refers to the formation of restricted failure zones with high concentration of deformations while the rest of the structure might even exhibit unloading. The failure process begins with the formation of micro-cracks and micro-voids whose accumulation with progressive load results in zones of strongly localized distortions. Quasi-brittle materials like concretes and soils exhibit spatial discontinuities of the kinematic fields when they are sufficiently deformed into the inelastic regime conducing to highly anisotropic material response (Kuhl et al. (2000)).

It becomes necessary to distinguish failure mechanisms that characterize tension, compression and shear regimes. Failure mechanism in tensile regime is fully controlled by the fracture energy release process in one single crack while the material outside the crack remains practically undamaged and subjected to elastic unloading, see a.o. Planas and Elieses (1986, 1989); Guo and Zhang (1987); Phillips and Binsheng (1993); Etse and Willam (1994). While in compressive regime the failure mechanism is characterized by both the appearance of several micro-cracks in the normal direction to the local maximum principal stress and the evolution of damage processes in zones located in between cracks or micro-cracks, see a.o. Hurlbut (1985); van Geel (1998); Sfer et al. (2002); Lu (2005); van Mier (1984).

Localized failure mechanisms on quasi-brittle materials, depending on governing stresses as well as mechanical and chemical features, have been experimentally observed by a.o. Vardoulakis (1980); Petersson (1981); Oda and Kazama (1988); Ehlers and Volk (1997); van Mier (1997).

From the analytical point of view, regarding smeared-crack theories for constitutive modelling of engineering materials, it is possible the mathematical evaluation of brittle or localized failure modes described by means of discontinuous bifurcations or jumps in the velocity gradients. After the original works by Hadamard (1903); Nadai (1950); Thomas (1961); Hill (1962); Rudnicki and Rice (1975), many authors have studied the problem in a systematic manner developing mathematical conditions and indicators that signalize the initiation of localized failure modes in the form of discontinuous bifurcation, see a.o. Sobh (1987); Perić (1990); Willam and Etse (1990); Ottosen and Runesson (1991); Rizzi and Willam (1995); Pijaudier-Cabot and Benallal (1993); Jirásek and Rolshoven (2009); Vrech and Etse (2006).

The present work is focused on the comparison of the localized failure characteristics of the well-known macroscopic Drucker-Prager plasticity formulation with the microplane-based one with the aim to demonstrate the capabilities of the microplane theory to reproduce localized failure modes.

In first place, constitutive equations of the thermodynamically consistent macroscopic plasticity model are summarized. After a brief description of the analytical discontinuous bifurcation conditions, the geometrical interpretation is deduced. Later, thermodynamically consistent microplane-based plasticity model is developed and localized failure properties in terms of discontinuous bifurcation are analyzed. Critical directions in macro and micro-plasticity frameworks of limit stress states corresponding to tension, compression and shear regimes are compared.

2 THERMODYNAMICALLY CONSISTENT MACROSCOPIC ELASTO-PLASTICITY

Starting from the additive decomposition of the strain tensor $\varepsilon = \nabla^{sym} u$ into the elastic and plastic components

$$\varepsilon = \varepsilon^e + \varepsilon^p$$ (1)
and the definition of a macroscopic free energy potential $\Psi^{\text{mac}}$ in terms of the elastic strain tensor and the internal variable $\kappa$ in case of isotropic plasticity

$$\Psi^{\text{mac}}(\varepsilon^e, \kappa) = \frac{1}{2} \varepsilon^e : E^e : \varepsilon^e + \int_0^\kappa \phi(\kappa) d\kappa$$  \hspace{1cm} (2)

being $E^e$ the fourth order elastic tensor, from the Clausius-Duhem inequality the expressions for the stress tensor $\sigma$ and yield stress $\phi^{\text{mac}}$ result

$$\sigma = \frac{\partial \Psi^{\text{mac}}}{\partial \varepsilon^e} \implies \dot{\sigma} = E^e : [\dot{\varepsilon} - \dot{\varepsilon}^p]$$

$$\phi^{\text{mac}} = \frac{\partial \Psi^{\text{mac}}}{\partial \kappa} \implies \dot{\phi}^{\text{mac}} = \dot{\kappa}$$  \hspace{1cm} (3)

being $\dot{\kappa}$ the hardening/softening modulus.

A convex yield function $\Phi^{\text{mac}}(\sigma, \phi^{\text{mac}}) \leq 0$ and a plastic potential $\Phi^*^{\text{mac}}(\sigma, \phi^{\text{mac}}) \leq 0$ that differs is the non-associated case are defined, being its normal vectors

$$\nu = \frac{\partial \Phi^{\text{mac}}}{\partial \sigma} \quad \text{and} \quad \mu = \frac{\partial \Phi^*^{\text{mac}}}{\partial \sigma}$$  \hspace{1cm} (4)

respectively. The evolution of the loading surfaces is governed by the plastic strain tensor rate

$$\dot{\varepsilon}^p = \dot{\lambda} \mu$$  \hspace{1cm} (5)

and satisfies the Kuhn-Tucker conditions

$$\Phi^{\text{mac}} \leq 0 \quad , \quad \dot{\lambda} \geq 0 \quad , \quad \Phi^{\text{mac}} \dot{\lambda} = 0$$  \hspace{1cm} (6)

as well as the consistency condition $\dot{\phi}^{\text{mac}} \dot{\lambda} = 0$, from which it is possible to deduce the plastic parameter rate $\dot{\lambda}$ to obtain the analytical expression for the elasto-plastic tangent material operator

$$E^{\text{ep}} = \frac{\partial \sigma}{\partial \varepsilon} = E^e - \frac{1}{h} E^e : \mu \otimes \nu : E^e \quad , \quad h = \nu : E^e : \mu + \tilde{H}$$  \hspace{1cm} (7)

3 ANALYTICAL SOLUTION FOR LOCALIZED FAILURE

In the realm of the smeared crack approach, localized failure modes are related to discontinuous bifurcations of the equilibrium path, and lead to lost of ellipticity of the equations that govern the static equilibrium problem. The inhomogeneous or localized deformation field exhibits a plane of discontinuity that can be identified by means of the eigenvalue problem of the acoustic or localization tensor, see a.o. Ottosen and Runesson (1991) and Willam and Etse (1990). Analytical solutions for the discontinuous bifurcation condition based on original works by Hadamard (1903); Thomas (1961); Hill (1962) are described in this section.

Firstly, the jump of the gradient displacement rate is expressed according Maxwell’s compatibility condition

$$[|\nabla \dot{u}|] = \xi m \otimes n \quad \rightarrow \quad [|\dot{\varepsilon}|] = \xi [m \otimes n]^\text{sym}$$  \hspace{1cm} (8)

being $\xi$ de jump amplitude, $m$ the unit jump vector and $n$ the unit normal vector to the failure surface.
Moreover, the equilibrium condition of the traction vectors across the discontinuity surface \( t = n \cdot \sigma \) states

\[
\begin{align*}
\left[ \hat{t} \right] := & \hat{t}^+ - \hat{t}^- = 0 \quad \longrightarrow \quad \left[ \hat{t} \right] = n \cdot [\sigma] = n \cdot \left[ E^{ep} : \varepsilon \right] = 0
\end{align*}
\] (9)

Substituting Eq. (8-b) in (9-b) the localization condition is obtained and expressed as

\[
\xi Q^{ep} \cdot m = 0, \quad Q^{ep} := n \cdot E^{ep} \cdot n
\] (10)

being \( Q^{ep} \) the elasto-plastic localization or acoustic tensor. The necessary condition for the onset of localization indicating the loss of ellipticity is characterized by the singularity condition of the acoustic tensor

\[
det\left( Q^{ep} \right) = 0
\] (11)

It leads to the analysis of the spectral properties of the tensor defined as

\[
Q^{ep} = Q^e - \frac{1}{h} \alpha^* \otimes \alpha, \quad Q^e := n \cdot E^e \cdot n
\] (12)

being \( Q^e \) the elastic localization tensor. The smallest eigenvalue of \( Q^{ep} \) with respect to the metric defined by \( [Q^e]^{-1} \) is

\[
\lambda^{(1)} = 1 - \frac{a(n) \cdot [Q^e(n)]^{-1} \cdot a^*(n)}{h} = 0
\] (13)

with the vectors \( a \) and \( a^* \) defined as

\[
a = \nu \cdot E^e \cdot n, \quad a^* = n \cdot E^e : \mu
\] (14)

By replacing Eq. (7-b) in (13), the necessary condition for localization and the corresponding critical hardening/softening modulus \( H_c \) is obtained

\[
\tilde{H}_c + \nu \cdot E^e : \mu - a \cdot \left[ Q^{ep} \right]^{-1} \cdot a^* = 0
\] (15)

3.1 GEOMETRICAL INTERPRETATION

The approach follows the original proposal by Benallal (1992), which was further developed by a.o. Pijaudier-Cabot and Benallal (1993), Benallal and Comi (1996), Liebe and Willam (2001) for classical plasticity.

The localization condition in Eq. (15) defines an ellipse

\[
\frac{(\sigma - \sigma_0)^2}{A^2} - \frac{\tau^2}{B^2} = 1
\] (16)

in the \( \sigma - \tau \) Mohr’s coordinates

\[
\sigma = n \cdot \sigma \cdot n, \quad s = n \cdot S \cdot n
\] (17)

\[
\tau^2 = (n \cdot S) \cdot (n \cdot S) - (n \cdot S \cdot n)^2
\] (18)

being \( S \) the deviatoric stress tensor and \( n \) the normal to the plane where the Mohr components are evaluated. The localization ellipse and Mohr’s circle parameters are shown in Fig. 1.
The tangency between the largest Mohr’s circle of stresses and the geometric representation of Eq. (15) determines the geometrical localization condition. The maximum hardening/softening parameter $H_c$ and the critical directions for localization $\theta_c$, are obtained when the Mohr’s circle of stresses

$$(\sigma - \sigma_c)^2 + \tau^2 = R^2$$

contacts the elliptical localization envelope. The center and radius are computed as

$$\sigma_c = \frac{\sigma_1 + \sigma_3}{2} \quad \text{and} \quad R = \frac{\sigma_1 - \sigma_3}{2}$$

with $\sigma_1$ and $\sigma_3$ the major and minor principal stresses, respectively.

According to Liebe (1998) three different failure modes may be distinguished depending on the contact points location: mode I, mode II and mixed mode.

The critical failure directions for localization $\theta_c$, obtained from the tangential contact between the elliptical localization envelope of Eq. (16) and the major Mohr’s circle of Eq. (19), are deduced from

$$\tan^2(\theta_c) = \frac{R - (\sigma_c - \sigma_0)/(d^2 - 1)}{R + (\sigma_c - \sigma_0)/(d^2 - 1)} ; \quad d^2 = \frac{A^2}{B^2}$$

### 4 DRUCKER-PRAGER PLASTICITY

The expressions of the second order Drucker-Prager yield criterion (see Drucker and Prager (1952)) and the adopted plastic potential have the form

$$\Phi^{mac} = J_2 + \alpha_{mac}^F I_1 - \phi^{mac} = 0$$

$$\Phi^{nac} = J_2 + \alpha_{nac}^Q I_1 - \phi^{nac} = 0$$

being $I_1$ the first invariant of the stress tensor $\sigma$ and $J_2$ the second invariant of the deviator stress tensor $S$. The parameters $\alpha_{mac}^F$ and $\phi^{mac}$ represent the friction angle and yield stress,
respectively. Expressed in terms of the uniaxial compressive and tensile strength $f_c$ and $f_t$, they result

$$\alpha^{mac}_F = \frac{f_c - f_t}{3}, \quad \phi^{mac} = \frac{f_c f_t}{3}$$

(23)

whereas $\alpha^{mac}_Q = \eta \alpha^{mac}_F$ corresponds to the dilatancy angle, being $\eta$ the non-associated coefficient.

Normal vectors to the yield and plastic potential surfaces are expressed as

$$\nu = S + \alpha^{mac}_F I$$

$$\mu = S + \alpha^{mac}_Q I$$

(24)

4.1 LOCALIZATION ANALYSIS OF DRUCKER-PRAGER PLASTICITY

![Localization ellipse and Mohr's circle](image)

Figure 2: Localization ellipse for uniaxial tension test.

Localization properties of the thermodynamically consistent Drucker-Prager plasticity for the plane strain state when $\sigma_z = \nu (\sigma_x + \sigma_y)$ where published by the authors, see Vrech and Etse (2006). Critical localization directions as well as critical hardening/softening parameter for different strength ratios $f_c/f_t$ and Poisson’s modulus were computed by both analytical and geometrical methods for different limit stress states.

In this section, considering the following material properties:
Elastic modulus - $E = 19305.3$ MPa
Poisson’s ratio - $\nu = 0.2$
Compressive strength - $f_c = 22.0$ MPa
Tensile strength \( f_t = 2.75 \text{ MPa} \)
Non-associated coefficient \( \eta = 0 \)

Localization ellipses and Mohr’s circles corresponding to the limit stress states of uniaxial tension, uniaxial compression and simple shear tests are shown in Figs. [2] to [6]. Obtained critical directions given by curves tangency are computed as:
\( \theta_c \) = 0° and 180° for uniaxial tension;
\( \theta_c \) = 31.5° and 148.5° for uniaxial compression; and
\( \theta_c \) = 15.75° and 164.25° for simple shear test.

\[ \theta_c = 63^\circ \]

Figure 3: Localization ellipse for uniaxial compression test.

5 MICROPLANE-BASED ELASTO PLASTICITY

This formulation is based on the thermodynamically consistent approach for the derivation of macroscopic stresses and equilibrium equations given by [Carol et al. (2001)] and [Kuhl et al. (2001)] for the case of isotropic plasticity.

Assuming kinematic constraints, scalar volumetric strain and tangential strain vector at microplane level \( (\varepsilon_V \text{ and } \varepsilon_T, \text{ respectively}) \) are computed by means the following relationships

\[ \varepsilon_V = V : \varepsilon \quad \varepsilon_T = T : \varepsilon \]  \hspace{1cm} (25)

being \( \varepsilon \) the macroscopic strain tensor projected on a microplane of normal direction \( n \), see Fig. [5]. The projection tensors are defined as

\[ V = \frac{1}{3} I \quad T = n \cdot I^{sym} - n \otimes n \otimes n \]  \hspace{1cm} (26)
Localization ellipse
Mohr’s circle

Figure 4: Localization ellipse for simple shear test.

Figure 5: Strain components at the microplane level.

The strain vector at microplane level results

\[ t_\varepsilon = \varepsilon_V n + \varepsilon_T \]  \hspace{1cm} (27)

Assuming the macro free-energy potential as the integral of the micro free-energy on a spherical region of unit volume \( \Omega \), the following micro-macro free-energy relationship is proposed

\[ \psi_{mac} = \frac{3}{4\pi} \int_\Omega \psi_{mic} \mathrm{d}\Omega \]  \hspace{1cm} (28)

being \( \psi_{mic}(\varepsilon_V, \varepsilon_T, \kappa) \) the free-energy potential at microplane level, expressed in terms of
the strain components and the scalar internal variable. Assuming small strains and regarding the additive decomposition of the macroscopic strain tensor of Eq. (1), the microscopic strain components are expressed as

\[ \varepsilon_V = \varepsilon^e_V + \varepsilon^p_V, \quad \varepsilon_T = \varepsilon^e_T + \varepsilon^p_T \]  

Constitutive micro-stresses are computed as

\[ \sigma_V := \frac{\partial \psi_{mic}}{\partial \varepsilon_V} \rightarrow \dot{\sigma}_V = E^e_V [\dot{\varepsilon}_V - \dot{\varepsilon}^p_V] \] 
\[ \sigma_T := \frac{\partial \psi_{mic}}{\partial \varepsilon_T} \rightarrow \dot{\sigma}_T = E^e_T [\dot{\varepsilon}_T - \dot{\varepsilon}^p_T] \]  

while the dissipative stresses can be computed at microplane level as

\[ \phi_{mic} = \frac{\partial \psi_{mic}}{\partial \kappa} \rightarrow \dot{\phi}_{mic} = \dot{H} \kappa \]  

As in case of macroscopic plasticity, both yield and plastic potential surfaces are set as

\[ \Phi^{mic}(\sigma_V, \sigma_T, \phi_{mic}) \leq 0 \text{ with } \nu_V = \frac{\partial \Phi^{mic}}{\partial \sigma_V} \text{ and } \nu_T = \frac{\partial \Phi^{mic}}{\partial \sigma_T} \] 
\[ \Phi^{*mic}(\sigma_V, \sigma_T, \phi_{mic}) \leq 0 \text{ with } \mu_V = \frac{\partial \Phi^{*mic}}{\partial \sigma_V} \text{ and } \mu_T = \frac{\partial \Phi^{*mic}}{\partial \sigma_T} \]  

and the evolution of the plastic strain components yields

\[ \dot{\varepsilon}^p_V = \dot{\lambda} \mu_V, \quad \dot{\varepsilon}^p_T = \dot{\lambda} \mu_T, \quad \dot{\kappa} = \dot{\lambda} \]  

regarding the Kuhn-Tucker conditions of Eq. (6) and the consistency condition.

The homogenization of the microplanes energy of Eq. (28) leads to the definition of the macroscopic stress tensor

\[ \sigma = \frac{\partial \psi^{mac}}{\partial \varepsilon} = \frac{3}{4\pi} \int_\Omega V \sigma_V + T^T \cdot \sigma_T d\Omega \]  

The analytical evaluation of this integral can be solved by numerical integration techniques proposed by [Bažant and Oh (1986)].

The macroscopic tangent operator can be analogously obtained as

\[ E^{ep} = \frac{d\sigma}{d\varepsilon} = \frac{3}{4\pi} \int_\Omega \left[ V \otimes \frac{d\sigma}{d\varepsilon_V} + T^T \cdot \frac{d\sigma}{d\varepsilon_T} \right] d\Omega \]  

resulting

\[ E^{ep} = E^e - \frac{3}{4\pi} \int_\Omega \frac{1}{h} \left[ E^e_V V \mu_V + E^e_T T^T \cdot \mu_T \right] \otimes \left[ \nu_V E^e_V + \nu_T \cdot T^T E^e_T \right] d\Omega \]  

with the elastic macroscopic tangent operator computed as

\[ E^e = \frac{3}{4\pi} \int_\Omega E^e_V V \otimes V + E^e_T T^T \cdot T d\Omega \]
5.1 MICROPLANE-BASED DRUCKER-PRAGER PLASTICITY

The relationship between microplane-based parameters and macroscopic ones for isotropic elasticity has been established by Kuhl et al. (2000) as

\[ E^e_V = 3K \quad \text{and} \quad E^e_V = \frac{10}{3} G \quad (38) \]

being \( K \) and \( G \) the compressive and shear modules, respectively. Whereas the first invariant of the macroscopic strain tensor can be expressed as

\[ I_1 = \varepsilon : I = \frac{3}{4\pi} \int_{\Omega} \varepsilon_V d\Omega \quad (39) \]

and the second invariant of macroscopic strain deviator as

\[ J_2 = \frac{1}{2} \varepsilon^{\text{dev}} : \varepsilon^{\text{dev}} = \frac{3}{4\pi} \int_{\Omega} \frac{3}{10} \varepsilon_T \cdot \varepsilon_T d\Omega \quad (40) \]

In the elastic regime, the same relationships hold for macroscopic stress invariants

\[ I_1 \approx \frac{3}{4\pi} \int_{\Omega} \sigma_V d\Omega \quad \text{and} \quad J_2 \approx \frac{3}{4\pi} \int_{\Omega} \frac{3}{10} \sigma_T \cdot \sigma_T d\Omega \quad (41) \]

The microplane-based friction coefficient and yield stress must be related to their macroscopic counterparts. With this aim the parabolic Drucker-Prager yield function of Eq. (22) is rewritten as

\[ \Phi_{\text{mac}} \approx \frac{3}{4\pi} \int_{\Omega} \left[ \frac{3}{10} \sigma_T \cdot \sigma_T + \frac{f_c - f_t}{3} \sigma_V - \frac{1}{3} f_c \sigma_V \right] d\Omega \quad (42) \]

whereas the microplane-based yield function as

\[ \Phi_{\text{mic}} = \frac{1}{2} \sigma_T \cdot \sigma_T + \alpha_{\text{mic}} \sigma_V - \phi_{\text{mic}} = 0 \quad (43) \]

From the comparison between last equations arises

\[ \alpha_{\text{mic}} \approx \frac{5}{3} \frac{f_t - f_c}{3} \quad \text{and} \quad \phi_{\text{mic}} \approx \frac{5}{9} \frac{f_c f_t}{3} \quad (44) \]

5.2 MICROPLANE-BASED LOCALIZATION ANALYSIS OF DRUCKER-PRAGER PLASTICITY

The macroscopic localization condition of Eq. (11), can be rewritten for the case of microplane-based plasticity as

\[ \det(Q^{ep}) = 0 \quad \text{with} \quad Q^{ep} = Q^e - \frac{3}{4\pi} \int_{\Omega} \frac{\alpha^\ast \otimes \alpha}{h} d\Omega \quad (45) \]

with the traction vectors computed as

\[ \alpha = [\nu_V V^e + \nu_T T^e_T \cdot n] \]
\[ \alpha^\ast = n \cdot [E^e_V V^e V + E^e_T T \cdot \mu_T] \quad (46) \]

and

\[ h = \bar{H} + \nu_V E^e_V \mu_V + \nu_T E^e_T \cdot \mu_T \quad (47) \]
Normal vectors to the micro-yield surface and plastic potential are computed as

\[
\nu_V = \frac{\partial \Phi^{\text{mic}}}{\partial \sigma_V} = \alpha^{\text{mic}} \quad \text{and} \quad \nu_T = \frac{\partial \Phi^{\text{mic}}}{\partial \sigma_T} = \sigma_T
\]

\[
\mu_V = \frac{\partial \Phi^{\ast\text{mic}}}{\partial \sigma_V} = \alpha^{\ast\text{mic}} \quad \text{and} \quad \mu_T = \frac{\partial \Phi^{\ast\text{mic}}}{\partial \sigma_T} = \sigma_T
\]

(48)

Due to the complex structure of the acoustic tensor for microplane-based plasticity in Eq. (45), analytical assessment becomes impossible. Numerical solutions are applied to compare localization results with those corresponding to macroscopic analysis in Section 4.1.

The results in Fig. 6 show normalized values of \(\text{det}(Q^{\text{ep}})/\text{det}(Q^e)\) for microplane-based Drucker-Prager plasticity. Critical directions for limit stress states of uniaxial tension, uniaxial compression and simple shear test are evaluated. The obtained results:

- \(\theta_c = 0^\circ\) and \(180^\circ\) for uniaxial tension and simple shear tests; and
- \(\theta_c = 31.5^\circ\) and \(148.5^\circ\) for uniaxial compression test

demonstrate comparable response behavior and localized failure modes for the three cases.

![Figure 6: Bifurcation analysis for microplane-based Drucker-Prager plasticity.](image)

6 CONCLUSIONS

In this work, numerical conditions for discontinuous bifurcation in limit states of thermo-dynamically consistent microplane theory for cohesive-frictional materials like concrete were evaluated.
The microplane based-elastoplastic localization condition was expressed in terms of the singularity of the acoustic tensor, obtained through integration of non-linear material processes on each microplane over all possible orientations.

Then, for limit stress states in simple traction, compression and shear regimes, critical localization directions were evaluated.

The results in this work illustrate the capabilities of the thermodynamically consistent microplane plasticity to reproduce localized failure modes and critical directions comparable with those of macroscopic formulations.

REFERENCES


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